School Based Medi-Cal Services Programs

FEBRUARY 22, 2017

PRESENTATION TO:
CALIFORNIA COUNTY SUPERINTENDENTS EDUCATIONAL SERVICES ASSOCIATION & FINANCIAL STAFF
Introductions & Overview

California Department of Health Care Services (DHCS) Safety Net Financing Division (SNFD)

- The School Based Medi-Cal Administrative Activities (SMAA) Program
- The Local Educational Agency Medi-Cal Billing Option Program (LEA BOP)
Introductions & Overview

Overview

- What is the School Based Medi-Cal Administrative Activities Program?
- What is the Local Educational Agency Medi-Cal Billing Option Program?
- What is Random Moment Time Survey (RMTS)?
- How are school districts reimbursed?
- What are the benefits in participating in the SMAA and LEA Medi-Cal Billing Option (LEA BOP) Programs?
What is SMAA?

- Access to health care
- SMAA is a Certified Public Expenditure (CPE) program
- Administering the SMAA program
- Cost of certain administrative activities
- SMAA activities
- The Federal Financial Participation (FFP) rate
The History of SMAA

- Implemented in 1995
- Time Survey
- SMAA deferral
- Conditions of the deferral
- Interim claiming
- Stipulations for DHCS
- CMS settlement and backcasting
What is Random Moment Time Survey?

- RMTS Process
  - Who? What? And Why?
- Calculation of RMTS
- The Time Survey Participant (TSP) Universe
- Percentages for the SMAA Invoice
Why RMTS?

- The RMTS time survey
- Reimbursement to the district
- Assigned time study moment
- What is MAA and Non-MAA?
Participating in SMAA

- Increase Student Access to Health Coverage
- Total LEA Participation
- How to Participate
SMAA Website Resources

SMAA website: http://www.dhcs.ca.gov/provgovpa rt/Pages/SMAA.aspx

- SMAA Program Contacts
- Regular Program Updates
- New SMAA Manual

SMAA@dhcs.ca.gov

Email Subscription Service: Subscribe/Unsubscribe to receive an email when there are changes or updates to the SMAA website.

For general inquiries: smaa@dhcs.ca.gov

PROGRAM UPDATE AS OF September 9, 2016

SMAA Workgroup

New to the Program: School Districts and LEAs
- Service Regions and Contact Information
- Program Information
- SMAA Program Contact Information
- Program Description
- SMAA Appeal Process
- SMAA FAQs
- Policies & Legislation
- MAC Agreement
- OMB A-97 (Not DHCS)
- Policy and Procedure Letters
- Manuals & Training
- (NEW) SMAA Manuals
- SMAA SharePoint Training

Random Moment Time Survey (RMTS)
- Random Moment Time Survey (RMTS)
- (NEW) RMTS Training

Reasonableness Test Criteria & Incentive Gaining
- Reasonableness Test Criteria (RTC)
- Tools & Templates
- Invoices
- (NEW) Vendor Fee Workheet
LEA BOP Introductions & Overview

Overview

- Program Description and Requirements
- Eligible Services and Practitioners
- Statistics and Trends
- State Plan Amendment (SPA) 15-021 / Random Moment Time Survey (RMTS)
- Resources
Program Description

The LEA BOP was established in 1993.

- Provides federal financial participation (FFP) reimbursement to LEAs
- Reimbursement is based upon a "fee-for-service" model
- The majority of students served in LEA BOP have an Individualized Education Plan (IEP) or an Individualized Family Services Plan (IFSP)
- LEA services not included in an IEP or IFSP limited to 24 services per 12 month period
How Does the LEA BOP Work?

- LEAs may employ or contract with qualified medical practitioners to render health services.
- The LEA bills Medi-Cal for direct medical services provided by qualified practitioners.
- Claims are filed using the traditional Medi-Cal fee-for-service system through the fiscal intermediary for DHCS.
- School expenditures for rendered services are reimbursed at 50% of cost.
- LEAs must annually submit the Cost and Reimbursement Comparison Schedule (CRCS).
Requirements

In order to seek reimbursement through the LEA BOP, the LEA must:

- Obtain **National Provider Identifier** (NPI) number
- Submit **Provider Participation Agreement** (PPA)
- Submit **Annual Report** (AR)
- Submit **Cost and Reimbursement Comparison Schedule** (CRCS)
- Submit claims for direct health services through the Fiscal Intermediary
Eligible Practitioners

The following is a **general list** of the health professionals who are qualified rendering practitioners under the LEA BOP.

- Audiologist and Audiometrist
- Marriage and Family Therapist
- Nurse
- Psychologist
- Physical Therapist
- Physician/Psychiatrist
- Occupational Therapist
- Optometrist
- Program Specialist
- School Counselor
- Social Worker
- Speech Language Pathologist
- Trained Health Care Aide
Eligible Services...

Following is a list of the current services eligible for reimbursement under the LEA BOP.

- Audiology Services
- Nursing and School Health Aide Services
- Occupational Therapy
- Physical Therapy
- Physician Services
- Physician Services
- Psychology/Counseling
- Speech Therapy
- Targeted Case Management
- Transportation (Medical)
…By Assessments and Treatments

<table>
<thead>
<tr>
<th>LEA Service Type</th>
<th>Assessment</th>
<th>Treatment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Audiology</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Nursing/Health</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Occupational Therapy</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Physical Therapy</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Psychology and Counseling</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>Speech Therapy</td>
<td>✓</td>
<td>✓</td>
</tr>
<tr>
<td>School Health Aide</td>
<td></td>
<td>✓</td>
</tr>
<tr>
<td>Developmental</td>
<td>✓</td>
<td></td>
</tr>
<tr>
<td>Health Education/Anticipatory Guidance</td>
<td>✓</td>
<td></td>
</tr>
<tr>
<td>Vision</td>
<td>✓</td>
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</tr>
</tbody>
</table>
Program Growth Over Time


Claims (in Millions):
- 2006-2007: $30,000,000
- 2007-2008: $60,000,000
- 2008-2009: $90,000,000
- 2009-2010: $120,000,000
- 2010-2011: $150,000,000
- 2011-2012: $180,000,000
- 2012-2013: $210,000,000
- 2013-2014: $240,000,000
- 2014-2015: $270,000,000

Reimbursement:
- 2006-2007: $30,000,000
- 2007-2008: $60,000,000
- 2008-2009: $90,000,000
- 2009-2010: $120,000,000
- 2010-2011: $150,000,000
- 2011-2012: $180,000,000
- 2012-2013: $210,000,000
- 2013-2014: $240,000,000
- 2014-2015: $270,000,000
Growth in LEA Program Participation

Number of LEAs

Unduplicated Student Count

Fiscal Year

2006-2007: 461
2007-2008: 472
2008-2009: 479
2009-2010: 484
2010-2011: 497
2011-2012: 519
2012-2013: 531
2013-2014: 535
2014-2015: 536
Reimbursement by Service Type FY 2014-15

- Speech Therapy: 37.5%
- Assessments: 28.6%
- School Health Aide Services: 10.6%
- Physical Therapy: 0.7%
- Audiology: 0.2%
- Targeted Case Management: 1.6%
- Occupational Therapy: 7.1%
- Medical Transportation/Mileage: 4.1%
- Nursing Services: 4.9%
- Psychology and Counseling: 4.7%

Total Reimbursement: $149.5 Million
SPA 15-021 Overview

SPA 15-021 was submitted on September 30, 2015, to the Center for Medicare and Medicaid Services (CMS) and includes:

- Coverage of Medi-Cal eligible beneficiaries
- Expansion of covered LEA BOP services
- Expansion of qualified LEA BOP practitioners
- RMTS component of reimbursement

In December 2015, CMS sent DHCS approximately 60 Requests for Additional Information (RAIs).
### PROPOSED Covered LEA Services

<table>
<thead>
<tr>
<th>LEA Service Type</th>
<th>New Assessment</th>
<th>New Treatment</th>
</tr>
</thead>
<tbody>
<tr>
<td>Nursing and School Health Aide Services</td>
<td></td>
<td>Activities of Daily Living Pending CMS Review</td>
</tr>
<tr>
<td>Nutritional Services</td>
<td></td>
<td>✓</td>
</tr>
<tr>
<td>Occupational Therapy Services</td>
<td></td>
<td>✓ (Group)</td>
</tr>
<tr>
<td>Orientation and Mobility Services</td>
<td>✓</td>
<td></td>
</tr>
<tr>
<td>Physical Therapy Services</td>
<td></td>
<td>✓ (Group)</td>
</tr>
<tr>
<td>Respiratory Therapy Services</td>
<td>✓</td>
<td></td>
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</table>
## PROPOSED Qualified Practitioners

<table>
<thead>
<tr>
<th>Service Provider</th>
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<tbody>
<tr>
<td>Occupational Therapy Assistant</td>
</tr>
<tr>
<td>Orientation and Mobility Specialist</td>
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<tr>
<td>Physician Assistant</td>
</tr>
<tr>
<td>Physical Therapy Assistant</td>
</tr>
<tr>
<td>Registered Associate Clinical Social Worker</td>
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<tr>
<td>Registered Dietician</td>
</tr>
<tr>
<td>Registered Marriage and Family Therapist Intern</td>
</tr>
<tr>
<td>Respiratory Care Practitioner</td>
</tr>
<tr>
<td>Speech-Language Pathology Assistant</td>
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</tbody>
</table>
Random Moment Time Survey

Why is the LEA BOP integrating RMTS?

How does RMTS fit into the LEA BOP?

- DHCS is working with a group of stakeholders on the RMTS design for the LEA BOP
- This statistical sampling technique will be used to estimate the amount of time spent providing LEA BOP approved Medi-Cal direct services
- The web-based system will randomly select and randomly assign a “moment” in time to a time survey participant

Does a LEA have to participate in RMTS to be in the LEA BOP?
LEA BOP Website Resources

Bookmark the LEA BOP website!

For general program information:
- Onboarding Handbook
- Random Moment Time Survey
- LEA Program Training FY 2016-17
- LEA Program Provider Manual

Or email the LEA BOP directly:
- LEA@dhcs.ca.gov
LEA BOP Resources / Contacts

- LEA BOP Website: [http://www.dhcs.ca.gov/provgovpart/pages/lea.aspx](http://www.dhcs.ca.gov/provgovpart/pages/lea.aspx)

- LEA BOP E-mail Subscription Form: [http://apps.dhcs.ca.gov/listssubscribe/default.aspx?list=DHCSLEA](http://apps.dhcs.ca.gov/listssubscribe/default.aspx?list=DHCSLEA)

- LEA BOP Mailbox for Policy or General Questions: [LEA@dhcs.ca.gov](mailto:LEA@dhcs.ca.gov)

- Provider Enrollment Questions: (916) 323-1945

- California Department of Education (CDE):
  - Reinvestment Questions: (916) 319-0914
  - For *Individuals with Disabilities Education Act (IDEA)* Questions contact: [Focus Monitoring and Technical Assistance](mailto:)

- Fiscal Intermediary Billing Questions: 1 (800) 541-5555
## Comparison of SMAA and LEA BOP

<table>
<thead>
<tr>
<th>Overview</th>
<th>SMAA</th>
<th>LEA BOP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overview</td>
<td>Provides 50%/75% FFP to school claiming units for Medi-Cal administrative activities</td>
<td>Provides 50% FFP to LEAs for Medi-Cal health related direct services</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Population Served</th>
<th>SMAA</th>
<th>LEA BOP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Population Served</td>
<td>Medi-Cal eligible students</td>
<td>Medi-Cal eligible special education students under age 22 and their families</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Billable Services</th>
<th>SMAA</th>
<th>LEA BOP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Billable Services</td>
<td>Administrative Activities</td>
<td>Direct Health Services</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Contract</th>
<th>SMAA</th>
<th>LEA BOP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contract</td>
<td>DHCS contracts with LECs/LGAs LECs/LGAs contract with LEAs</td>
<td>DHCS contracts with LEAs</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Requirements</th>
<th>SMAA</th>
<th>LEA BOP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Requirements</td>
<td>Operational Plan; Direct Charge; RMTS</td>
<td>Provider Participation Agreement; Annual Report; CRCS (cost report); Documentation of services, RMTS</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Claims</th>
<th>SMAA</th>
<th>LEA BOP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Claims</td>
<td>Quarterly invoicing via LEC/LGA</td>
<td>LEAs submit claims to the DHCS fiscal intermediary and are paid an interim rate which is reconciled annually through the CRCS.</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Restrictions on Funds?</th>
<th>SMAA</th>
<th>LEA BOP</th>
</tr>
</thead>
<tbody>
<tr>
<td>Restrictions on Funds?</td>
<td>All costs must be in compliance with 45 CFR Part 75 but there are no restrictions on how to allocate reimbursements.</td>
<td>Funds must supplement, not supplant health and social services to students and their families. The LEA local collaborative makes reinvestment decisions.</td>
</tr>
</tbody>
</table>
Integrated Quarterly RMTS

Integrated RMTS Process

Quarterly Participant List → Quarterly RMTS

LEC/LGA Involvement

Administrative

Quarterly Cost Collection → Quarterly Administrative Claim

Direct Service

DHCS Calculation of Code 2 Percentage

Ongoing FFS Billing → Interim Payments → Annual Cost Collection → Annual CRCS

Average Annual Code 2 Percent Used in CRCS